



DEPARTMENT OF PROBATION

Vincent N. Schiraldi, Commissioner

Key Public Service Areas

- ✓ Monitor and enforce the conditions of probation.
- ✓ Maximize appropriate use of alternatives to Family Court and detention and out-of-home placement for juveniles.

Scope of Agency Operations

The New York City Department of Probation (DOP) helps build stronger and safer communities by working with and supervising people on probation, fostering positive change in their decision-making and behavior, and expanding opportunities for them to move out of the criminal and juvenile justice systems through meaningful education, employment, health services, family engagement and civic participation. The Department is required to supply information and recommendations to the courts on both adult and juvenile cases. Pre-Sentence Investigations are provided to the courts on all convicted adults to aid in sentencing. Investigations and Recommendations Reports are prepared for the Family Court to aid in the decision-making on delinquency, custody, visitation, neglect and adoption cases. The Department provides intake services and investigations for more than 30,000 adults and 15,000 juveniles per year, and supervises approximately 24,500 adults and 2,000 juveniles on any given day.

Critical Objectives

- Reduce re-offense rates by adult and juvenile probationers.
- Reduce detention and out-of-home placement of juvenile probationers.
- Reduce reliance on Family Court for intervention in juvenile delinquency cases.
- Increase early discharges and reduce unnecessary Violations of Probation.

Preliminary Performance Highlights

- The Department prepared to open the first of five Neighborhood Opportunity Network (NeON) offices, which are community centers designed to increase neighborhood involvement with probation clients. The NeON offices will include educational, mentoring, and workforce development services funded by the Mayor's Young Men's Initiative, a multi-agency effort to reduce disparities slowing the advancement of black and Latino males. The first NeON office opened in Brownsville in December 2011. Neons are also being developed in Harlem, Jamaica, East New York, and the South Bronx.
- The average monthly violation rate for adult probationers was 1.0 percent in the first four months of Fiscal 2012, down from 1.3 percent the previous fiscal year. DOP continues to utilize a graduated response protocol to intervene before misconduct is referred to court, and to more proactively reach out to probationers in jeopardy of a violation. The average monthly violation rate for juveniles was 2.4 percent, compared to 1.9 percent during the first four months of Fiscal 2011. The Department diverted many low-risk juveniles to adjustment services, while opening supervision cases for higher-risk youth.
- The average monthly rearrest rate for adult and juvenile probationers fell during the reporting period by 0.3 and 0.8 percentage points, respectively. When viewed as a percentage of all NYPD arrests, adult rearrests were down 0.2 points, while juvenile rearrests decreased by 0.1 percentage point. DOP continues to focus its resources on individuals who are at highest risk for recidivism.
- The number of youth served by the Enhanced Supervision Program was 790, compared to 909 during the first four months of Fiscal 2011. A 12 percent decrease in the overall number of juveniles supervised accounts for this decline. The average daily enrollment in Esperanza, the City's first home-based alternative to placement program, was 93 youth, up from 76 the previous fiscal year. The Department worked with local courts and service providers to identify youth appropriate for community supervision rather than placement.
- The juvenile intake adjustment rate was 36 percent during the first four months of Fiscal 2012, a reduction of three percentage points from the previous fiscal year, based primarily on an increase in the number of cases that were not suitable for adjustment services and were referred to court.



Performance Report

✓ Monitor and enforce the conditions of probation.

Performance Statistics	A c t u a l			T a r g e t		4-Month Actual FY11	4-Month Actual FY12
	FY09	FY10	FY11	Updated			
	FY12	FY13					
★ Adult probationer rearrest rate (monthly average)(%)	2.8%	3.0%	3.1%	*	*	3.2%	2.9%
★ Juvenile probationer rearrest rate (monthly average)(%)	2.5%	3.0%	3.0%	*	*	3.3%	2.5%
★ Adult probationers arrested citywide as a percentage of the NYPD arrest report (monthly average)	2.7%	2.7%	2.6%	*	*	2.8%	2.6%
★ Juvenile probationers arrested citywide as a percentage of the NYPD arrest report (monthly average)	0.2%	0.3%	0.3%	*	*	0.3%	0.2%
Average monthly violation rate for adult probationers (%)	NA	1.6%	1.0%	*	*	1.3%	1.0%
Average monthly violation rate for juvenile probationers (%)	NA	2.7%	2.1%	*	*	1.9%	2.4%

★ Critical Indicator "NA" - means Not Available in this report

✓ Maximize appropriate use of alternatives to Family Court and detention and out-of-home placement for juveniles.

Performance Statistics	A c t u a l			T a r g e t		4-Month Actual FY11	4-Month Actual FY12
	FY09	FY10	FY11	Updated			
	FY12	FY13					
Total probationers supervised in Enhanced Supervision Program (ESP)	1,197	1,290	1,287	*	*	909	790
Youth participating in Esperanza	67	79	77	*	*	76	93
★ Juvenile delinquency cases eligible for adjustment (%)	NA	27.4%	40.0%	*	*	39.0%	36.0%

★ Critical Indicator "NA" - means Not Available in this report

Agency Customer Service

The Department of Probation provides service to its customers through its website and correspondence.

Indicator	DOP	Citywide	DOP	Citywide	DOP Change	Citywide Change
	Jul-Oct FY11	Jul-Oct FY11	Jul-Oct FY12	Jul-Oct FY12	Jul-Oct FY11 to FY12	Jul-Oct FY11 to FY12
Average response time for email correspondence (days)	7	5	5	8	-29%	60%
Average response time for letters/mail correspondence (days)	12	11	9	9	-25%	-18%
Number of 311 inquiries (to MMR agencies)	749	2,467,532	492	2,245,344	-34%	-9%



311 Customer Service Center Inquiries

	Total Jul-Oct FY11	% of DOP Inquiries in Jul-Oct FY11	Rank in # of Calls in Jul-Oct FY11	Total Jul-Oct FY12	% of DOP Inquiries in Jul-Oct FY12	Rank in # of Calls in Jul-Oct FY12
Top DOP-related Inquiries						
<i>Adult Probation Supervision - Brooklyn</i>	182	24%	1	131	27%	1
<i>Adult Probation Supervision - Manhattan</i>	107	14%	3	87	18%	2
<i>Adult Probation Supervision - Bronx</i>	122	16%	2	78	16%	3
<i>Adult Probation Supervision - Queens</i>	100	13%	4	62	13%	4
<i>Adult Probation Supervision - Staten Island</i>	35	5%	5	24	5%	5

Agency Resources

<i>Agency Resources</i>	A c t u a l			September 2011 MMR	Updated		4-Month Actual	4-Month Actual
	FY09	FY10	FY11	FY12	FY12 ¹	FY13 ¹	FY11	FY12
<i>Expenditures (\$ millions)²</i>	\$82.1	\$83.0	\$81.9	\$77.9	\$82.2	\$76.1	\$31.6	\$26.5
<i>Revenues (\$000)</i>	\$4	\$3	\$198	\$1,021	\$1,021	\$1,021	\$0	\$83
<i>Personnel</i>	1,149	1,169	1,024	1,064	1,100	1,007	1,156	996
<i>Overtime paid (\$000)</i>	\$369	\$246	\$156	*	*	*	\$60	\$38

¹ January 2012 Financial Plan

"NA" - Not Available in this report

² Expenditures include all funds. January Plan figures reflect modifications in Federal, State, and other non-City funding since the adoption of the 2012 budget.

Noteworthy Changes, Additions or Deletions

None

For more information please visit the website at: www.nyc.gov/probation

