



Environmental Control Board

A Division of the Office of Administrative Trials and Hearings



Quarterly Review for Board Members

(4Q CY2013)

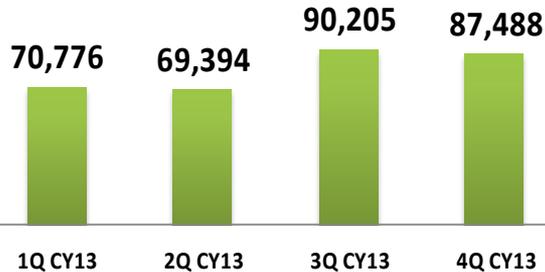
January 30, 2014

Violations Received by ECB

4Q CY2013

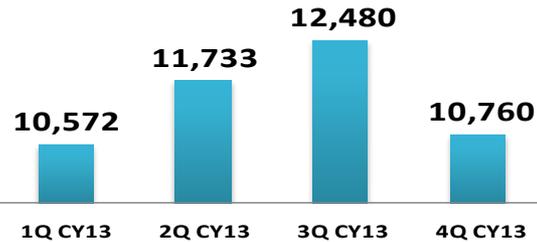
DSNY

3% Decrease



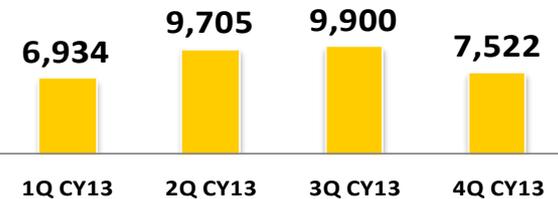
DOB

14% Decrease



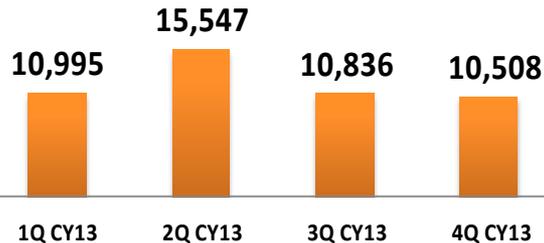
DOT

24% Decrease



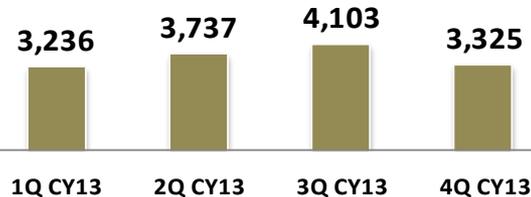
FDNY

3% Decrease



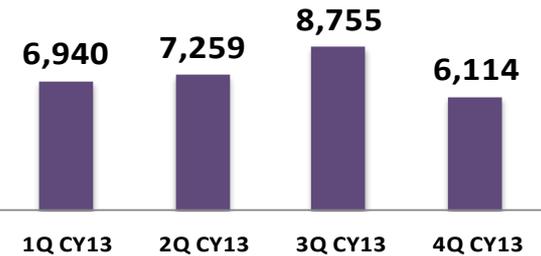
DEP

19% Decrease



DOHMH

30% Decrease



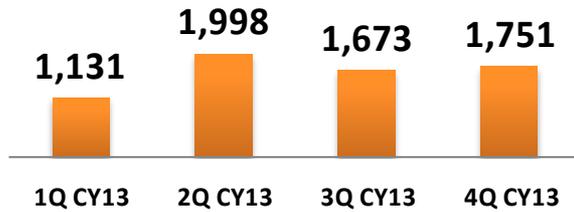
*1Q CY2013 (Jan-Mar 2013); 2Q CY2013 (Apr-Jun 2013); 3Q CY2013 (Jul-Sept 2013); 4Q CY2013 (Oct-Dec 2013); % change = 3Q CY2013 vs 4Q CY2013

Violations Received by ECB

4Q CY2013

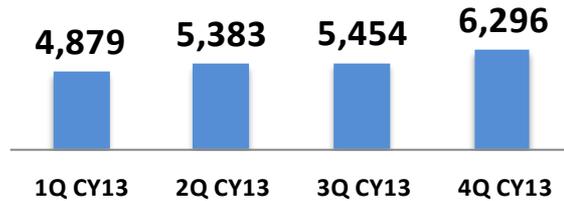
DPR

5% Increase



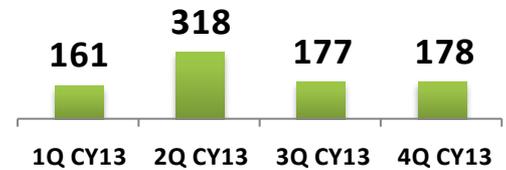
NYPD

15% Increase



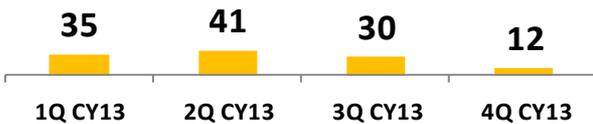
DOITT

1% Increase



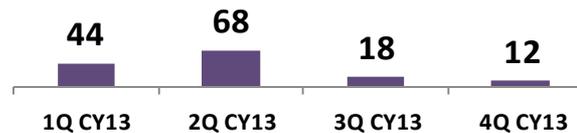
BIC

60% Decrease



LPC

44% Decrease



* 1Q CY2013 (Jan-Mar 2013); 2Q CY2013 (Apr-Jun 2013); 3Q CY2013 (Jul-Sept 2013); 4Q CY2013 (Oct-Dec 2013); % change = 3Q CY2013 vs 4Q CY2013

Violations Received by ECB

Quarterly Comparisons

Violations Received	4Q CY2012 (Oct, Nov & Dec 2012)	4Q CY2013 (Oct, Nov & Dec 2013)
DSNY	48,510	87,488
DOB	8,777	10,760
FDNY	8,070	10,508
DOT	4,783	7,522
NYPD	6,244	6,296
DOHMH	4,904	6,114
DEP	2,818	3,325
DPR	1,049	1,751
DOITT	237	178
BIC	9	12
LPC	32	10
DCA	0	0
Agency Code Missing	51	140
Misc	51	68
Total	85,535	134,172

Total Violations Heard

Quarterly Comparisons

Agency	4Q CY2012 (Oct, Nov & Dec 2012)	4Q CY2013 (Oct, Nov & Dec 2013)
DSNY	18,987	15,953
DOB	10,329	11,473
FDNY	3,603	5,619
DOHMH	3,159	3,955
NYPD	2,573	3,746
DEP	2,716	3,463
DOT	2,196	2,932
DOITT	213	625
DPR	651	463
LPC	28	12
BIC	18	8
DCA	0	0
Agency Code Missing or Invalid	18	53
Misc	614	512
TOTAL	45,105	48,814

Decisions Rendered

Quarterly Comparisons

Agency	4Q CY2012 <i>(Oct, Nov & Dec 2012)</i>	4Q CY2013 <i>(Oct, Nov & Dec 2013)</i>
DSNY	12,927	14,780
DOB	5,967	6,403
FDNY	2,887	4,408
DOHMH	2,791	3,430
NYPD	2,136	2,905
DOT	1,465	2,088
DEP	1,513	1,880
DPR	534	386
DOITT	82	113
BIC	11	12
LPC	15	5
DCA	0	0
Agency Code Missing or Invalid	24	44
Misc	34	38
Total	30,386	36,492

Hearing to Decision

(Elapsed Time from Last Hearing to Decision Date)
CY2013

	1Q CY2013 (Jan-Mar 2013)	2Q CY2013 (Apr-Jun 2013)	3Q CY2013 (Jul-Sept 2013)	4Q CY2013 (Oct-Dec 2013)
< 10 days	88%	90%	81%	84%
11 to 20 days	10%	8%	16%	13%
21 to 30 days	2%	2%	3%	3%

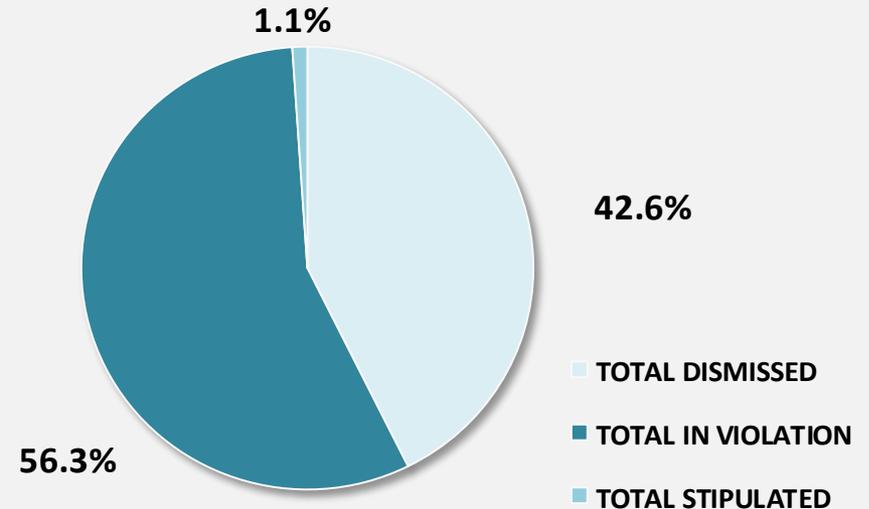
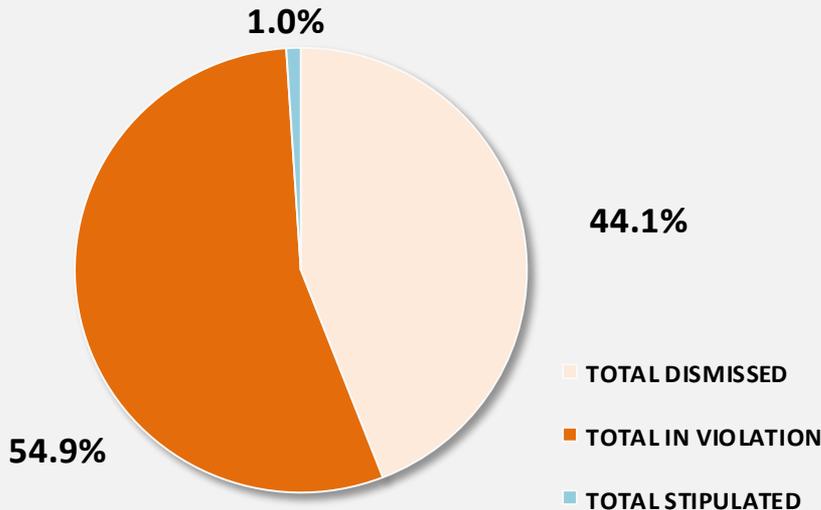
	1Q CY2013 (Jan-Mar 2013)	2Q CY2013 (Apr-Jun 2013)	3Q CY2013 (Jul-Sept 2013)	4Q CY2013 (Oct-Dec 2013)
< 20 days	97.7%	97.7%	97.2%	97.0%
21 to 30 days	1.1%	1.4%	2.1%	2.6%
31 to 40 days	1.2%	0.9%	0.7%	0.4%

Decisions Rendered after Hearings

4Q CY2012 vs 4Q CY2013
(In Violation/Dismissed/Stipulated)

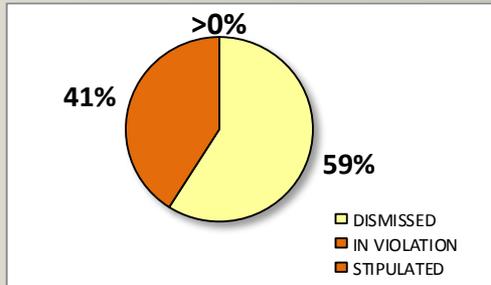
	4Q CY2012 <i>(Oct, Nov & Dec 2012)</i>
DISMISSED	13,398
IN VIOLATION	16,674
STIPULATED	314
TOTAL	30,386

	4Q CY2013 <i>(Oct, Nov & Dec 2013)</i>
DISMISSED	15,555
IN VIOLATION	20,546
STIPULATED	391
TOTAL	36,492

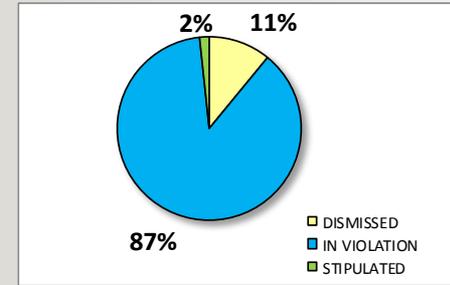


Decisions Rendered after Hearings (By Agency – 4Q CY2013)

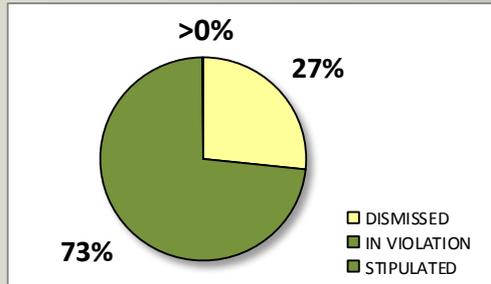
DSNY	4Q CY2013
DISMISSED	8,759
IN VIOLATION	6,019
STIPULATED	2
TOTAL	14,780



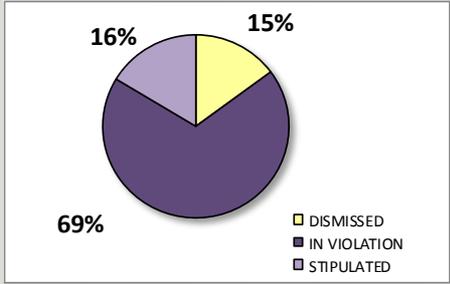
FDNY	4Q CY2013
DISMISSED	483
IN VIOLATION	3,852
STIPULATED	73
TOTAL	4,408



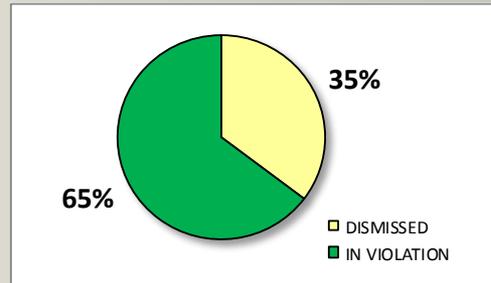
DOB	4Q CY2013
DISMISSED	1,706
IN VIOLATION	4,689
STIPULATED	8
TOTAL	6,403



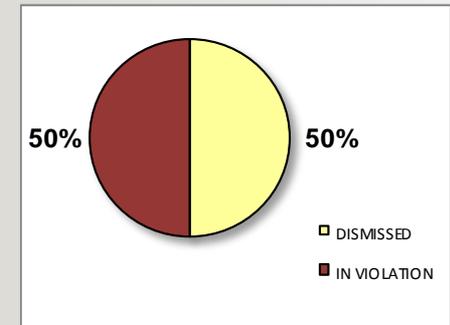
DEP	4Q CY2013
DISMISSED	279
IN VIOLATION	1,293
STIPULATED	308
TOTAL	1,880



DOT	4Q CY2013
DISMISSED	737
IN VIOLATION	1,351
TOTAL	2,088



NYPD	4Q CY2013
DISMISSED	1,466
IN VIOLATION	1,439
TOTAL	2,905

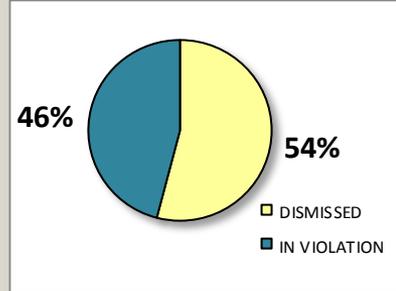


*October, November and December 2013

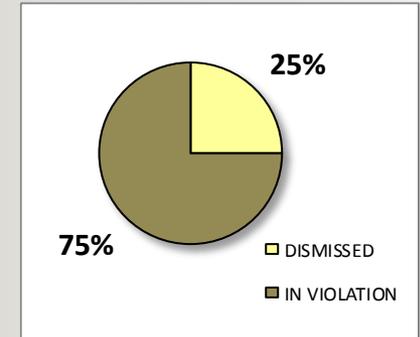
Decisions Rendered after Hearings

(By Agency – 4Q CY2013)

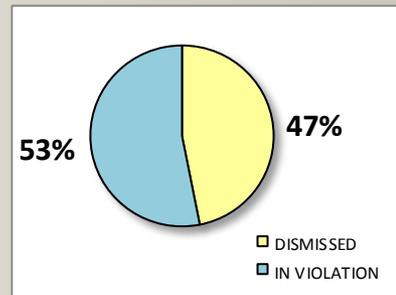
DOHMH	4Q CY2013
DISMISSED	1,855
IN VIOLATION	1,575
TOTAL	3,430



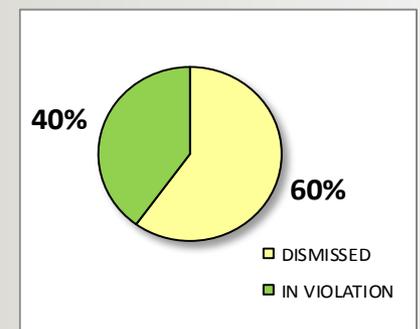
BIC	4Q CY2013
DISMISSED	3
IN VIOLATION	9
TOTAL	12



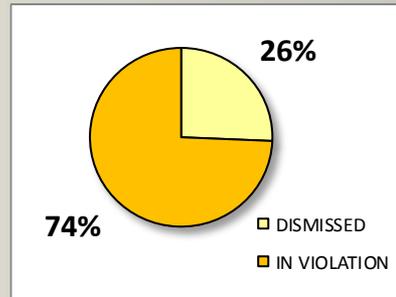
DPR	4Q CY2013
DISMISSED	181
IN VIOLATION	205
TOTAL	386



LPC	4Q CY2013
DISMISSED	3
IN VIOLATION	2
TOTAL	5



DOITT	4Q CY2013
DISMISSED	29
IN VIOLATION	84
TOTAL	113



*October, November and December 2013

Most Commonly Issued Violations By Agency

(4Q CY2013)

DOT
FAILURE TO COMPLY WITH THE TERMS AND CONDITIONS OF DOT PERMITS
FAILURE TO PERMANENTLY RESTORE CUT WITHIN REQUIRED TIME
USE/OPENING OF STREET W/O PERMIT

DOB
WORK WITHOUT A PERMIT
UNLAWFUL ACTS.FAILED TO COMPLY WITH AN ORDER OF THE COMMISSIONER
FAIL MAINTAIN BLDG IN COMPLIANT MANNER: SERVICE EQUIPMENT

NYPD
VEND IN BUS STOP, NEXT TO HOSPITAL/10 FT OF DRIVE, SUBWAY, SUBWAY, CROSSWALK
VENDING AT TIME/PLACE PROHIBITED
FAIL TO DISPLAY LICENSE AND/OR PLATE

DSNY
DIRTY SIDEWALK/DIRTY AREA
DIRTY AREA
FAILURE TO CLEAN 18 INCHES INTO STREET

*October, November and December 2013

Most Commonly Issued Violations By Agency (4Q CY2013)

DEP

FAILED TO SUBMIT AN ANNUAL TEST REPORT FOR A BACKFLOW PREVENTER

OPERATING WITHOUT RENEWING OF EXPIRED OPERATING CERTIFICATE

FAILED TO INSTALL A BACKFLOW PREVENTER

DOHMH

FAIL TO ELIMINATE RODENT INFESTATION SHOWN BY ACTIVE RODENT SIGNS 1ST

FAIL TO ELIMINATE CONDITIONS CONDUCTIVE TO RODENT-DEBRIS, VEGITATION 1ST

ITEMS NOT IN OR UNDER CART (EXCEPT WASTE CONTAINER)

FDNY

INSPECTION AND TESTING

FIRE PROTECTION SYSTEMS: FAIL TO PREVENT UNNECESSARY/UNWARRANTED ALARMS

POSTING OF PERMITS AND RECORD KEEPING

LPC

WORK W/O OR VIOL. OF CERT. OF APPROP/NO EFFECT-ALT EXT ARCHIT FEAT. TYPE A

WORK W/O OR VIOL. OF CERT. OF APPROP/NO EFFECT (TYPE C) MISC. VIOLATION

WORK W/O OR VIOL OF CERT. OF APPROP/NO EFFECT (TYPE C) FLAG, SIGN, BANR, AWNG

*October, November and December 2013

Most Commonly Issued Violations By Agency (4Q CY2013)

DOITT

FAILURE TO PROVIDE WORKING PUBLIC
PAY TELEPHONE & OPERATOR SERVICES

FAILED TO CLEAN/MAINTAIN PUBLIC PAY
TELEPHONE AS PER REQMENTS OF SUBSEC

REQUIRED SIGN MISSING/IMPERMISSIBLE
AS PER REQUIREMENTS OF SUBSECTION

BIC

IDLING OF MOTOR VEHICLE ENGINE OVER
THREE MINUTES (DIESEL FUEL)

DPR

FAILURE TO COMPLY WITH
DIRECTIONS/PROHIBITIONS ON SIGNS

FAILURE TO COMPLY WITH PEDICAB
RESTRICTIONS

UNLEASHED OR UNCONTROLLED ANIMALS IN
PARK

*October, November and December 2013

Appealed Violations by Issuing Agency (4Q CY2013)

Agency	# of Violations
DOB	333
DSNY	224
FDNY	97
DPR	95
DOHMH	78
NYPD	50
DEP	45
DOT	43
DOITT	9
Misc Agencies	2
Total	976

*October, November and December 2013

Defaults (4Q CY2013)

Total # of Defaults (Oct, Nov and Dec 2013) =

65,904

Issuing Agency	# of Defaults 3Q CY2013	% out of Total Defaults
DSNY	46,001	69.80%
DOT	5,249	7.96%
DOHMH	3,638	5.52%